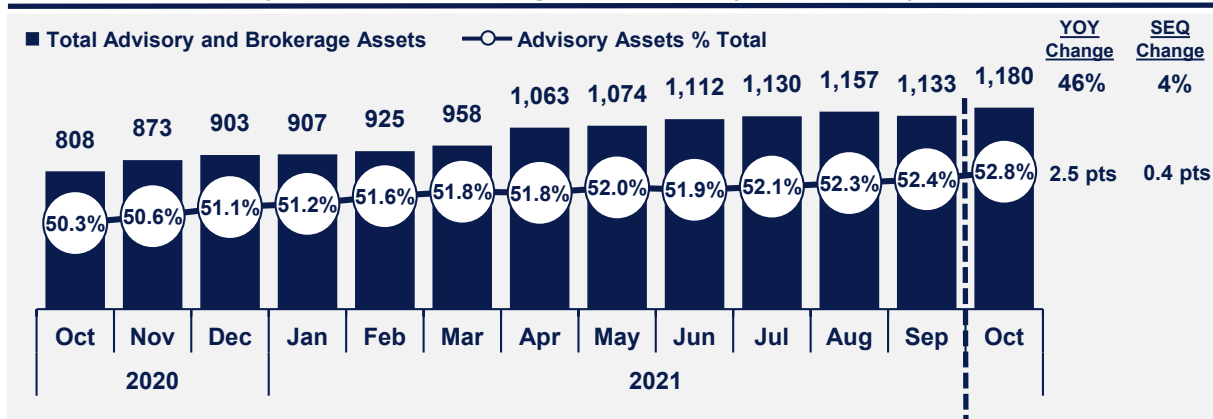


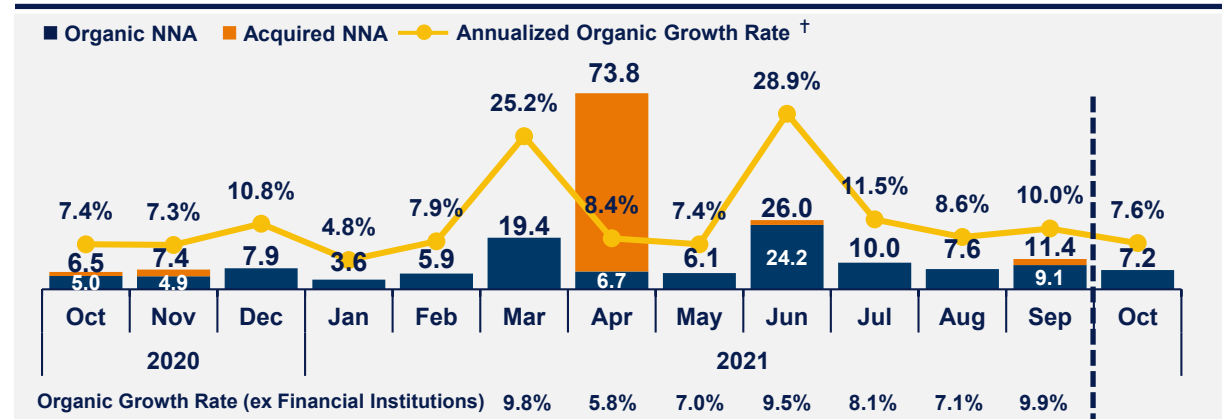
LPLA October 2021 Monthly Metrics Dashboard

(Posted November 18, 2021)

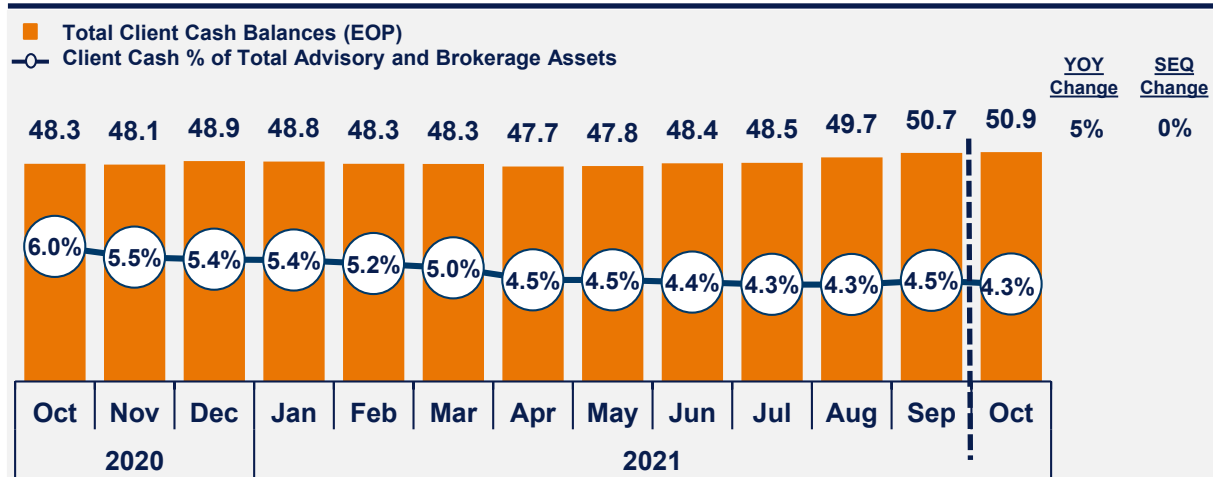
Total Advisory and Brokerage Assets (\$ billions)



Total Net New Assets (\$ billions)



Client Cash Balances (\$ billions)



Net Buy (Sell) Activity (\$ billions)



† Calculated as current period total organic net new assets multiplied by twelve, divided by preceding period Total Advisory and Brokerage Assets.