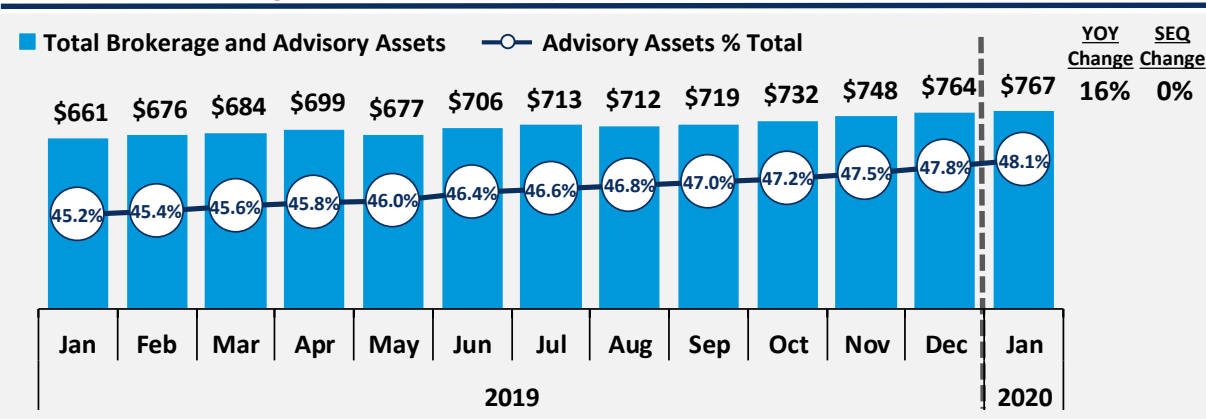


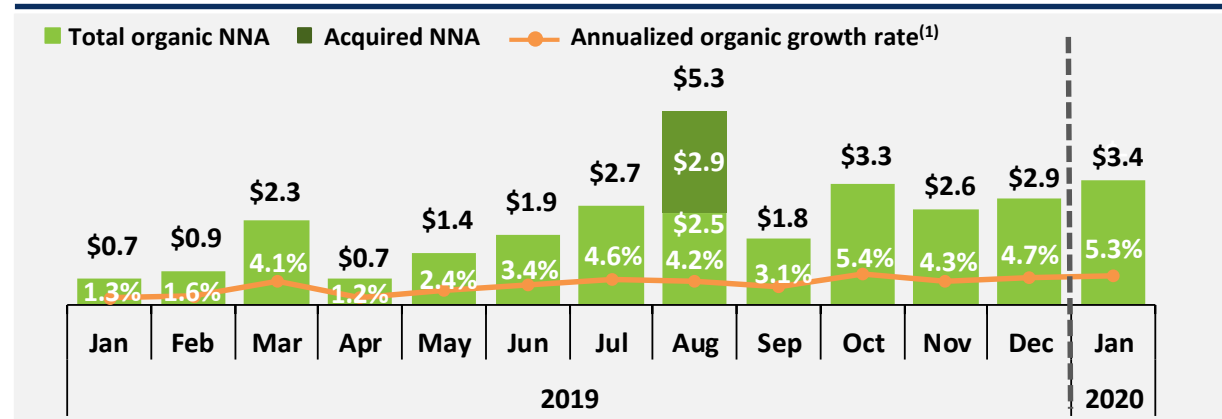
LPLA January 2020 Monthly Metrics Dashboard

(Posted February 24, 2020)

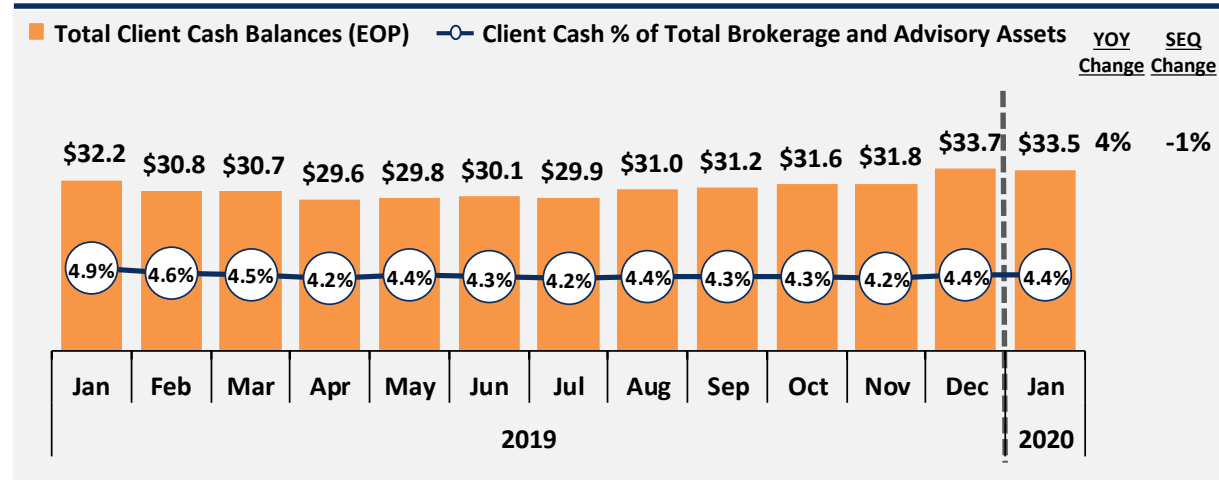
Total Brokerage and Advisory Assets (\$ billions)



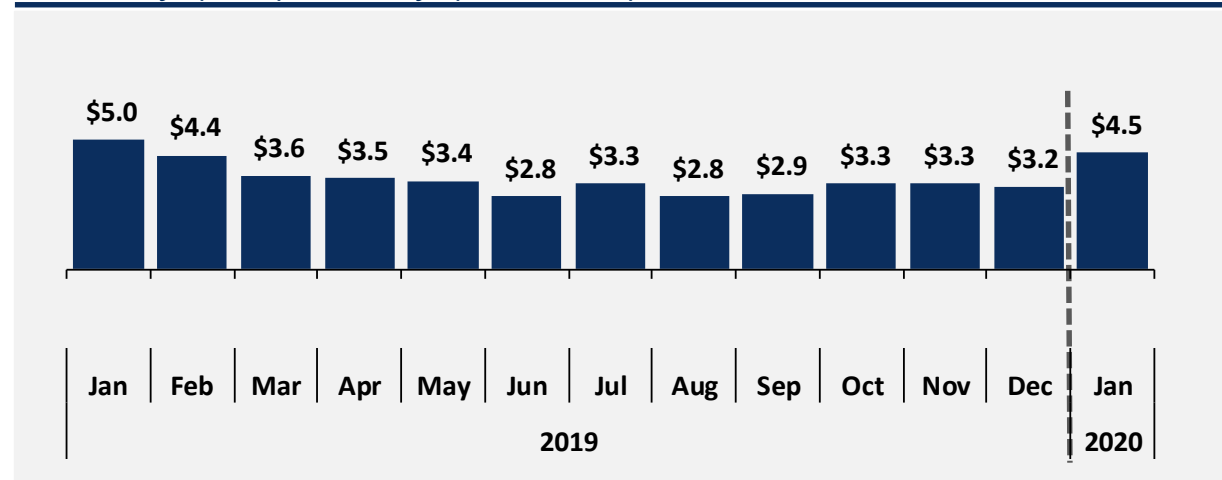
Total Net New Assets (\$ billions)



Client Cash Balances (\$ billions)



Net Buy (Sell) Activity (\$ billions)



(1) Calculated as current period total net new assets multiplied by twelve, divided by preceding period total brokerage and advisory assets.