

Solicitor Disclosure
Relating to Referrals by WiserAdvisor
to
LPL Financial LLC

WiserAdvisor (the “Program”)] offers an advertising and referral service for investment professionals. When you provide your contact information through the Program website, WiserAdvisor will introduce you to investment professionals that are in your geographic area, including in your case, an investment adviser representative (“Advisor”) of LPL Financial, LLC (“LPL”). It is up to you to interview the Advisor and decide whether you want to hire them. If you decide to hire Advisor, you will enter into an agreement directly with that Advisor to provide you with investment advisory services.

Advisor has entered into an arrangement with WiserAdvisor under which Advisor pay WiserAdvisor an annual membership fee of up to \$1599 and a fee for leads (delivered per the pricing schedule below) to advertise their services through the Program and to receive client referrals in the form of an initial introduction to interested consumers who are located in Advisor’s geographic region. The fees paid by Advisor to WiserAdvisor are paid irrespective of whether you become a client of Advisor, and are not passed along to you. You will not pay any increased fee to Advisor or LPL as a result of the arrangement. However, the presence of these arrangements may affect Advisor’s willingness to negotiate below its standard investment advisory fees, and therefore may affect the overall fees you pay. Please ask Advisor for more information about their fees.

Lead Pricing Schedule:

<u>Self-Reported Portfolio Size of Prospects</u>	<u>Price Paid by Advisor Per Lead</u>
Less than \$50,000	\$40
Portfolio Sizes \$50,000-\$100,000	\$70
Portfolio Sizes \$100,000-\$250,000	\$90 - \$100
Portfolio Sizes \$250,000-\$500,000	\$100 - \$150
Portfolio Sizes \$500,000- \$1 million	\$125 - \$180
Portfolio Sizes \$1 million - \$3 million	\$150 - \$225
Portfolio Sizes \$3 million plus	\$150 - \$300
Undisclosed (Prefer to Disclose Directly to Advisor)	\$90

WiserAdvisor is not affiliated with Advisor or LPL and neither WiserAdvisor nor any of its representatives are authorized to provide investment advice on behalf of Advisor or LPL or to act for or bind Advisor or LPL. No investment advisory agreement with Advisor will become effective until accepted by Advisor and LPL.