## Miscellaneous Account and Service Fees Schedule

### Outside Investment Accounts

The listed fees below do not include commissions, markups, commission equivalents or advisory fees. These fees apply to the purchase of mutual funds in non-retirement accounts when a mutual fund purchase, sale or exchange is processed through the LPL Financial electronic trading system. These fees do not apply to retirement accounts, nor do they apply if the purchase, sale or exchange is made directly through the mutual fund company, or via an application directly through a mutual fund or insurance company. Some of these fees may be waived under certain conditions.¹

<table>
<thead>
<tr>
<th>ACCOUNT OR SERVICE</th>
<th>FEE</th>
<th>FREQUENCY</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ACCOUNT MAINTENANCE</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Confirm Processing</td>
<td>$5</td>
<td>Per transaction</td>
</tr>
<tr>
<td>Express Mail/Overnight Delivery</td>
<td>$15</td>
<td>Per event</td>
</tr>
<tr>
<td>Extension for Money or Securities Received Past Settlement</td>
<td>$15</td>
<td>Per event</td>
</tr>
<tr>
<td>Interest Charged for Money or Securities Received Past Settlement</td>
<td>Cash Due Interest Rate</td>
<td>Begins accruing 3 days after trade settlement</td>
</tr>
<tr>
<td><strong>CASH MANAGEMENT SERVICES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wired Funds</td>
<td>$25</td>
<td>Per wire</td>
</tr>
<tr>
<td><strong>INVESTMENT SPECIFIC</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mutual Funds:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Load Fund Redemption</td>
<td>$15</td>
<td>Per transaction</td>
</tr>
<tr>
<td>No Load Fund Purchase and Redemption</td>
<td>$40</td>
<td>Per transaction</td>
</tr>
<tr>
<td>No Load Fund Exchange</td>
<td>$80</td>
<td>Per transaction</td>
</tr>
</tbody>
</table>

¹ See account agreements for more information. These fees generally are not based directly on the costs of the transaction or service by LPL, and may include a profit to LPL.

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**Security Endorsement Instructions:**

For value received, (Leave Blank) hereby sells, assigns and transfers unto (Leave Blank) shares represented by the within certificate and do hereby irrevocably constitute and appoint (LPL Financial) as Attorney to transfer the said shares on the books of the within named Corporation with full power of substitution in the premises.

Dated: (Date Signed)
Signed: (Sign Exactly as Registered on the Front, With All Signatures)

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**Make Checks Payable as Follows:**

[Check Details]

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Member FINRA/SIPC

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