LPL Financial

Move Money

IRA Contribution - Check / Security Deposit

ACA

Instructions: Complete this form to provide LPL Financial (LPL) with deposit instructions for a check or a stock certificate. This form should be submitted to LPL for all retirement account deposits via check or security.

Mail Checks to:	Mail Securities to:	Overnight Delivery to:	Fax to:
LPL Financial LLC Attn: M Desk P.O Box 500950 San Diego, CA 92150-0950	LPL Financial LLC Attn: Custody Dept. P.O. Box 502210 San Diego, CA 92150-2210	LPL Financial LLC Attn: M Desk or Attn: Custody 4707 Executive Drive San Diego, CA 92121-3091	(858) 202-8500

Account Information

1. Registration

Account Number

Account Registration

Social Security Number

Date of Birth

Contribution Instructions

1. Check Deposit Instructions

Check Number

Dollar Amount of Check

Date on Check

CURRENT YEAR	PREVIOUS YEAR	
$	$	IRA Contribution (Available for IRA, Roth IRA, or Coverdell ESA)
$	$	Employer Contribution (Available for SEP IRA, SARSEP IRA, SIMPLE IRA, 403(b), Profit Sharing, Money Purchase, 401(k))
$	$	Employer Match (Available for SIMPLE IRA, 403(b), 401(k))
$	$	Employee Deferral (Available for SARSEP IRA, SIMPLE IRA, 403(b), 401(k))
$	$	Designated Roth Contribution (Available for Roth 401(k) and Roth 403(b))
$		Retirement Plan Rollover (Available if distribution was from a 403(b), 401(k), Government 457(b), Profit Sharing, Money Purchase, or Defined Benefit) Plan Name Received From (Required) (e.g. ABC Company 401k Plan, etc.)
$		IRA-to-IRA Rollovers (Refer to client signature section for details) Available for Traditional IRA, Roth IRA, SEP IRA, SARSEP IRA. Only available for SIMPLE IRA if the distribution was from a SIMPLE IRA. Only available for Coverdell ESA if distribution was from a Coverdell ESA. Only available for a Beneficiary IRA if the distribution was from a QRP
$		Conversion Contribution (Refer to client signature section for details) (Only available for indirect Roth Conversions, i.e. Traditional IRA to Roth IRA)
$		Payment of Administrative Fee(s) (e.g. maintenance fee, termination fee)
$		Trustee Transfer (Only available for non-reportable transfers between accounts with the same registration)
$		Loan Principal Payment *
$		Loan Interest Payment *

*Loan Repayment and Loan Balance Update Disclosure:
LPL does not provide loan administration and is not responsible for ensuring loan requirements, defaults, deemed distributions, monitoring plan loan repayments, or reporting. All loan requirements are the responsibilities of the plan administrator.

2. Security Deposit Instructions (Required if depositing physical stock certificates)

◯ IRA/ESA Rollover† ◯ Retirement Plan Rollover†† ◯ Transfer†††

Number of Shares/Units	CUSIP Number	Description of Asset

Note - The IRS does not allow contributions to retirement accounts to be made in shares except as a Rollover or a Transfer, in which case the asset deposited must be the same asset that was withdrawn.

†Available for Traditional IRA, Roth IRA SEP IRA, SARSEP IRA
Only available for SIMPLE IRA if the distribution was from a SIMPLE IRA
Only available for Coverdell ESA if distribution was from a Coverdell ESA
Only available for a Beneficiary IRA if the distribution was from a QRP

††Available for 403(b), 401(k), Government 457(b), Profit Sharing, Money Purchase, Defined Benefit

†††A non-reportable transfer between accounts with the same registration

Signature

1. Plan Sponsor Signature (Required for Employer, Employer Match and Employees Deferral contributions)

I hereby certify that I am authorized to remit contributions on behalf of the plan and the participants. I further certify that these contributions meet the requirements of the plan and are qualified under section 401(a), 401(k), 403(b), Government 457(b), 408, 408(k) and/or 408(p) of the Internal Revenue code. I understand that neither the Custodian, as applicable, LPL Financial LLC ("LPL"), nor any of their affiliates assume any responsibility for determining any participant's eligibility for nor bear any responsibility or liability for any tax consequences relating to any of these deposits.

Authorized Signature for Plan Sponsor/Trustee　　Name (print)　　Date

2. Client Signature

If I elect to make a rollover or indirect Roth conversion contribution to the recipient Retirement Account indicated above, I hereby certify that I understand the rollover or conversion rules and conditions, as applicable, as they pertain to this Retirement Account, I have met the requirements for making such a rollover or conversion and that my rollover or conversion contribution is eligible for rollover or conversion treatment under the IRS Code. I understand that neither the Custodian, as applicable, LPL, nor any of their affiliates assume any responsibility for determining my eligibility for nor bear any responsibility for any tax consequences related to such rollover or conversion. I hereby irrevocably designate this contribution according to the instructions above.

I certify that I am the proper party to request contributions for this account and that the information provided is true and accurate. I further certify that no tax advice has been given to me by LPL Financial LLC (LPL). All decisions regarding any authorization herein are my own. I expressly assume responsibility for tax implications and adverse consequences, which may arise from any contribution, and I agree that LPL shall in no way be held responsible. I shall indemnify and hold LPL harmless for any losses, claim or damage, including professional fees, in reliance upon the authorization hereunder. I understand that it is my responsibility to determine my eligible amount for contributions.

Signature of Account Owner (Signature of Guardian if the Account Owner is a minor)　　Date