

ACCOUNT VIEW 2.0

Enabling Investors



LPL Financial takes the security and privacy of our products seriously. To safeguard data, we advocate for security in the conception, design, and implementation of our investor-facing platforms, like Account View2.0. We strive to build the most innovative, secure, and empowering tools for financial professionals and their clients, and encourage our third-party partners and the industry to join us in that commitment. Security is an evolving journey, and as cybercriminals change their tactics, we adapt and accelerate our security posture to stay one step ahead.

One of the benefits of using LPL's tools is that they're only available to LPL clients. We've designed these tools for our diverse client base and they meet the Americans with Disabilities Act (ADA), California Privacy Rights Act (CPRA), and other industry, state, and federal regulations. This means that no matter your background, location, or experience, our product is accessible and can be used.



STRONG SECURITY FRAMEWORK

- Sensitive data is secured, masked, and/or encrypted where applicable to provide a secure experience.
- Design, architecture, and code reviews are performed to ensure industry best practices and adherence to LPL policies to meet security and compliance requirements.
- Regular scanning for vulnerability is done on the environment and supporting infrastructure.
- Penetration testing is performed by third-party experts.
- Recurring patching and modernization are implemented regularly.
- User profiles are placed on a fraud lock if suspicious activity is identified.
- Multifactor authentication is required upon registration and must be enabled.

