

EXECUTIVE BIOGRAPHY



Dan Arnold

President and

Chief Executive Officer

Dan Arnold serves as president and chief executive officer of LPL Financial (NASDAQ: LPLA), a leading retail investment advisory firm and the nation's largest independent broker/dealer*. In this role, Mr. Arnold sets the strategy and direction for the firm with the board, while leading the senior executive team in shaping and communicating the values and operating principles of the organization.

Mr. Arnold has more than 20 years of industry experience. He has served as LPL president since March 2015 and has been responsible for driving the development of the firm's long-term strategy for growth. In this same role, he also oversaw the company's primary client-facing functions and led its Advisor and Institution Solutions business unit, which focused on business development, existing advisor and institution growth, enhancing the client experience, the firm's research capabilities and its sponsor partnerships.

Mr. Arnold was previously the firm's chief financial officer, responsible for formulating financial policy and leading the company's capital management efforts. He joined LPL Financial in 2007 as managing director of Institution Services, with responsibilities for the growth and support of the firm's bank and credit union clients.

Mr. Arnold joined LPL through its acquisition of UVEST Financial Services—a leading provider of investment services to banks and credit unions—which he led as president and chief operating officer. Earlier, he spent seven years in the telecommunications industry, holding leadership roles with BellSouth and MCI in corporate finance, sales, and marketing.

Mr. Arnold earned a BS in electrical engineering from Auburn University and holds an MBA in finance from Georgia State University. He is FINRA Series 7, 24, 27, and 63 registered through LPL Financial.