

LPL Financial Holdings Inc. - Historical Monthly Activity Through July 2026

As of July 31, 2026

(End of period \$ in billions, unless noted)	Jul 2026	Jun 2026	May 2026	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025	Sep 2025	Aug 2025	Jul 2025
<u>Client Assets</u>⁽¹⁾													
Advisory	1,544.2	1,548.4	1,537.3	1,482.7	1,390.4	1,441.6	1,422.7	1,392.7	1,385.9	1,374.4	1,346.9	1,308.3	1,077.0
Brokerage	1,002.4	1,014.3	1,017.3	995.0	945.9	989.3	985.8	977.9	977.6	976.8	967.7	955.3	862.4
Total Client Assets	2,546.6	2,562.7	2,554.6	2,477.7	2,336.3	2,430.8	2,408.5	2,370.5	2,363.6	2,351.1	2,314.5	2,263.5	1,939.4
<u>Organic Net New Assets ("NNA")</u>⁽²⁾⁽³⁾													
Advisory ⁽⁴⁾	10.2	13.3	11.0	6.0	9.7	10.0	6.1	10.2	8.3	9.2	10.4	11.8	7.5
Brokerage	(2.8)	(2.0)	(2.2)	(3.0)	(1.6)	(0.9)	(2.0)	(1.6)	(1.7)	(2.0)	(1.0)	6.1	(2.0)
Total Organic NNA	7.4	11.3	8.8	3.1	8.1	9.1	4.2	8.6	6.7	7.3	9.4	17.8	5.4
<u>Acquired NNA</u>⁽⁵⁾													
Advisory	0.0	0.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	199.3	0.0
Brokerage	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.0	0.0	0.0	0.0	75.7	0.0
Total Acquired NNA	0.0	0.5	0.0	0.0	0.0	0.0	0.0	2.0	0.0	0.0	0.0	275.0	0.0
<u>Total NNA</u>⁽⁶⁾													
Advisory	10.2	13.8	11.0	6.0	9.7	10.0	6.1	10.2	8.3	9.2	10.4	211.1	7.5
Brokerage	(2.8)	(2.0)	(2.2)	(3.0)	(1.6)	(0.9)	(2.0)	0.4	(1.7)	(2.0)	(1.0)	81.7	(2.0)
Total NNA	7.4	11.8	8.8	3.1	8.1	9.1	4.2	10.6	6.7	7.3	9.4	292.8	5.5
Net brokerage to advisory conversions ⁽⁷⁾	1.9	2.3	2.1	2.2	2.2	2.1	2.2	2.1	1.8	2.3	2.3	2.1	2.4
<u>Client Cash Balances</u>													
Insured cash account sweep	36.8	38.4	37.0	37.6	39.8	37.8	38.2	41.0	36.9	36.4	36.9	35.0	33.7
Deposit cash account sweep	15.0	15.6	14.8	14.7	15.9	14.6	14.2	15.3	13.6	12.8	13.0	12.2	10.8
Total Bank Sweep	51.8	54.1	51.9	52.3	55.7	52.4	52.4	56.3	50.5	49.2	49.9	47.2	44.4
Money market sweep ⁽⁸⁾	1.1	1.1	1.2	1.3	1.5	1.8	2.2	2.5	2.4	4.1	4.2	4.1	3.4
Total Client Cash Sweep Held by Third Parties	52.8	55.2	53.1	53.6	57.2	54.2	54.6	58.8	53.0	53.2	54.1	51.3	47.9
Client cash account	1.5	1.7	1.8	1.9	2.0	1.6	1.9	2.2	1.6	1.6	1.8	1.4	1.6
Total Client Cash Balances	54.3	56.9	54.8	55.5	59.1	55.9	56.5	61.0	54.6	54.9	55.8	52.7	49.5
Net buy (sell) activity ⁽⁹⁾	14.7	13.1	13.7	12.9	12.7	16.6	13.8	13.3	12.9	14.3	13.9	14.2	13.7
<u>Market Drivers</u>													
S&P 500 Index (end of period)	7,490	7,499	7,580	7,209	6,529	6,879	6,939	6,846	6,849	6,840	6,688	6,460	6,339
Russell 2000 Index (end of period)	2,931	3,024	2,919	2,800	2,496	2,632	2,614	2,482	2,500	2,479	2,436	2,366	2,212
Fed Funds daily effective rate (average bps)	363	363	363	364	364	364	364	373	388	408	422	433	433

(1) Consists of total client assets under custody at the Company's primary broker-dealer subsidiary, LPL Financial LLC ("LPL Financial"), as well as assets under custody of third-party custodians related to certain of the Company's subsidiaries, Commonwealth Financial Network's ("Commonwealth") broker-dealer subsidiary and Atria Wealth Solutions, Inc.'s ("Atria") introducing broker-dealer subsidiaries.

(2) Total organic net new assets includes organic net new assets from institutions for the periods presented below:

<u>Organic NNA from Large Institutions</u>	Jul 2026	Jun 2026	May 2026	Apr 2026	Mar 2026	Feb 2026	Jan 2026	Dec 2025	Nov 2025	Oct 2025	Sep 2025	Aug 2025	Jul 2025
Advisory	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	4.2	0.0
Brokerage	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.7	3.3	9.6	0.0
Total Organic NNA from Large Institutions	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.7	3.3	13.8	0.0

(3) Organic net new assets include assets that off-boarded as part of the previously disclosed planned separation from misaligned large OSJs. There were \$1.8B, \$2.2B, \$1.8B, \$0.5B, \$0.3B and \$0.2B of assets that off-boarded in July, August, September, October, November and December 2025, respectively.

(4) Organic net new advisory assets include assets that off-boarded as part of the previously disclosed planned separation from misaligned large OSJs. There were \$1.2B, \$0.2B, \$0.1B, and \$0.1B of assets that off-boarded in July, August, September and October 2025, respectively.

(5) For August 2025 figures, includes Commonwealth assets as of June 30, 2025, assuming 90% retention. Based on unaudited preliminary financial information of Commonwealth.

(6) Consists of total client deposits into advisory or brokerage accounts less total client withdrawals from advisory or brokerage accounts, plus dividends, plus interest, minus advisory fees. The Company considers conversions from and to brokerage or advisory accounts as deposits and withdrawals, respectively.

(7) Consists of existing custodied assets that converted from brokerage to advisory, less existing custodied assets that converted from advisory to brokerage.

(8) In November 2025 and February 2026, \$1.6B and \$0.5B of sweep money market funds were converted to purchased money market funds, respectively.

(9) Represents the amount of securities purchased less the amount of securities sold in client accounts custodied with LPL Financial.

Note: Totals may not foot due to rounding.