

## LPL Financial Reports Monthly Activity for April 2026

**SAN DIEGO – May 21, 2026** – LPL Financial Holdings Inc. ([Nasdaq: LPLA](#)) (the “Company”) today released its monthly activity report for April 2026.

Total client assets at the end of April were \$2.48 trillion, an increase of \$141.4 billion, or 6.1%, compared to the end of March. Advisory assets as a percentage of total assets increased to 59.8%, up from 54.7% a year ago.

Total organic net new assets (“NNA”) for April were \$3.1 billion, translating to a 1.6% annualized growth rate.

Total client cash balances at the end of April were \$55.5 billion, a decrease of \$3.6 billion compared to the end of March. Net buying in April was \$12.9 billion.

(End of period \$ in billions, unless noted)	April 2026	March 2026	Change M/M	April 2025	Change Y/Y
<b><u>Client Assets</u></b>					
Advisory	1,482.7	1,390.4	6.6%	978.6	51.5%
Brokerage	995.0	945.9	5.2%	809.4	22.9%
<b>Total Client Assets</b>	<b>2,477.7</b>	<b>2,336.3</b>	<b>6.1%</b>	<b>1,787.9</b>	<b>38.6%</b>
<b><u>Organic NNA</u></b>					
Advisory	6.0	9.7	n/m	6.9	n/m
Brokerage	(3.0)	(1.6)	n/m	(0.8)	n/m
<b>Total Organic NNA</b>	<b>3.1</b>	<b>8.1</b>	<b>n/m</b>	<b>6.1</b>	<b>n/m</b>
<b><u>Acquired NNA</u></b>					
Advisory	0.0	0.0	n/m	0.0	n/m
Brokerage	0.0	0.0	n/m	0.0	n/m
<b>Total Acquired NNA</b>	<b>0.0</b>	<b>0.0</b>	<b>n/m</b>	<b>0.0</b>	<b>n/m</b>
<b><u>Total NNA</u></b>					
Advisory	6.0	9.7	n/m	6.9	n/m
Brokerage	(3.0)	(1.6)	n/m	(0.8)	n/m
<b>Total NNA</b>	<b>3.1</b>	<b>8.1</b>	<b>n/m</b>	<b>6.1</b>	<b>n/m</b>
Net brokerage to advisory conversions	2.2	2.2	n/m	1.7	n/m
<b><u>Client Cash Balances</u></b>					
Insured cash account sweep	37.6	39.8	(5.5%)	35.2	6.8%
Deposit cash account sweep	14.7	15.9	(7.5%)	10.7	37.4%
<b>Total Bank Sweep</b>	<b>52.3</b>	<b>55.7</b>	<b>(6.1%)</b>	<b>45.9</b>	<b>13.9%</b>
Money market sweep	1.3	1.5	(13.3%)	4.2	(69.0%)
<b>Total Client Cash Sweep Held by Third Parties</b>	<b>53.6</b>	<b>57.2</b>	<b>(6.3%)</b>	<b>50.2</b>	<b>6.8%</b>
Client cash account	1.9	2.0	(5.0%)	1.6	18.8%
<b>Total Client Cash Balances</b>	<b>55.5</b>	<b>59.1</b>	<b>(6.1%)</b>	<b>51.8</b>	<b>7.1%</b>
Net buy (sell) activity	12.9	12.7	n/m	10.4	n/m

Note: Totals may not foot due to rounding.

**Market Drivers**

S&P 500 Index (end of period)	7,209	6,529	10.4%	5,569	29.4%
Russell 2000 Index (end of period)	2,800	2,496	12.2%	1,964	42.6%
Fed Funds daily effective rate (average bps)	364	364	—%	433	(15.9%)

For additional information regarding these and other Company business metrics, please refer to the Company's [most recent earnings announcement](#), which is available in the [quarterly results](#) section of [investor.lpl.com](#).

**Contacts**

Investor Relations  
[investor.relations@lplfinancial.com](mailto:investor.relations@lplfinancial.com)

Media Relations  
[media.relations@lplfinancial.com](mailto:media.relations@lplfinancial.com)

**About LPL Financial**

LPL Financial Holdings Inc. (Nasdaq: LPLA) is among the fastest growing wealth management firms in the U.S. As a leader in the financial advisor-mediated marketplace, LPL supports more than 32,000 financial advisors and the wealth management practices of approximately 1,100 financial institutions, servicing and custodialing approximately \$2.3 trillion in brokerage and advisory assets on behalf of approximately 8 million Americans. The firm provides a wide range of advisor affiliation models, investment solutions, fintech tools and practice management services, ensuring that advisors and institutions have the flexibility to choose the business model, services, and technology resources they need to run thriving businesses. For further information about LPL, please visit [www.lpl.com](http://www.lpl.com).

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